



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,566	06/28/2001	Masato Imai	09793822-0149

CONFIRMATION NO. 5465

FORMALITIES LETTER



OC000000007327959

Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606

Date Mailed: 01/17/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/31/2001 to the Notice to File Missing Parts (Notice) mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

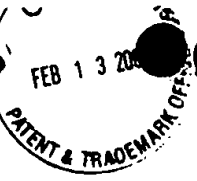
- The statutory basic filing fee is insufficient.
Applicant must submit \$ 30 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 160.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

4726/4710



COPY OF PAPERS
ORIGINALLY FILED

0380 0430

BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Masato Imai, et al. ATTY. DOCKET NO. 09793822-0149
SERIAL NO. 09/894,566 GROUP ART UNIT: 2871
DATE FILED: June 28, 2001 EXAMINER:
INVENTION: "LIQUID CRYSTAL DISPLAY APPARATUS AND METHOD FOR
PRODUCING SAME"

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Assistant Commissioner of Patents
Washington, D.C. 20231

S I R:

In response to the Notice of Incomplete Reply dated January 17, 2002, applicants believe that no further reply is necessary. In that regard, applicants state as follows:

On October 22, 2001, applicants responded to the Notice of Omitted Items by submitting a response and petition setting forth how the alleged omitted figures were actually already present in the original application papers. At the same time, applicants submitted a Preliminary Amendment to correct a typographical error in the labeling of two figures.

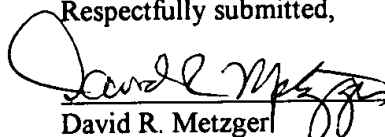
In response thereto, applicants received the Notice of Incomplete Reply that indicates the filing date was granted.

The Notice of Incomplete Reply states that the basic filing fee was insufficient. However, given that the filing date was June 28, 2001, the \$710 filing fee is believed to be sufficient and, thus, the additional \$30 and the requested surcharge of \$130 are believed to be improperly requested.

Counsel for applicants discussed this matter with the Office of Initial Patent Examination and has been informed that this application will again be forwarded to the Petitions Branch to act on the petition submitted in response to the Notice of Omitted Items. Counsel was advised that there was no need to respond to the Notice of Incomplete Reply.

However, in an abundance of caution, applicants herewith submit this response to the Notice of Incomplete Reply with the understanding that no further fee is due by applicants. However, Commissioner is conditionally authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

 (Reg. No. 32,919)

David R. Metzger

SONNENSCHN NATH & ROSENTHAL

P.O. Box #061080

Wacker Street Station - Sears Tower

Chicago, Illinois 60606-1080

Telephone 312/876-0200

Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on January 29, 2002.

